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PATENT  
5007-007

PTO  
12/21/00  
09/78/274



## IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Application for Reissue of Utility Patent to:  
Ashby, III et al.

Patent No. 5,852,803  
Issued: December 22, 1998


Title: APPARATUS, SYSTEM AND  
METHOD FOR RECORDING  
AND/OR RETRIEVING AUDIO  
INFORMATION

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Derrick Brown

### REISSUE PATENT APPLICATION TRANSMITTAL

#### **Box Reissue**

Assistant Commissioner for Patents  
Washington, D.C. 20231

#### Application Elements (37 CFR 1.173)

1. ☒ Fee Transmittal Form
2. ☒ Applicant claims small entity status.
3. ☒ Copy of patent which includes specification  
(amended), claims, and drawings.
4. ☒ Reissue Declaration.
5. ☒ Power of Attorney.
6. ☒ Assent of Assignee.

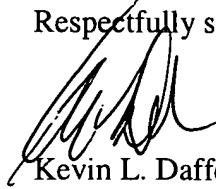
#### Accompanying Application Parts

7. ☒ Applicant hereby offers to surrender the  
original patent.
8. ☒ Information Disclosure Statement  
w/accompanying form PTO-1449 and copies  
of IDS citations.
9. ☒ Preliminary Amendment including  
statement of support for all changes to the  
claims.
10. ☒ Return receipt postcard.

Please forward all future correspondence to:

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Respectfully submitted,



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Date: December 21, 2000

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REISSUE APPLICATION FEE TRANSMITTAL FORM						Docket Number (Optional) <b>5007-00700</b>		
Claims as Filed - Part 1								
Claims in Patent		Number Filed in Reissue Application	(3) Number Extra	Small Entity		Other than a Small Entity		
				Rate	Fee	Rate	Fee	
(A) <b>4</b>	Total Claims (37 CFR 1.16(j)) Independent claims (37 CFR 1.16(i))	(B) <b>39</b> (D) <b>8</b>	**** <b>19</b> =	x \$ <b>9</b> =	<b>171<sup>00</sup></b>	or	x \$ _____ =	
(C) <b>2</b>			* <b>6</b> =	x \$ <b>40</b> =	<b>240<sup>00</sup></b>		x \$ _____ =	
Basic Fee (37 CFR 1.16(h))					<b>\$355<sup>00</sup></b>		\$ _____	
Total Filing Fee					<b>\$766<sup>00</sup></b>	OR	\$ _____	
Claims as Amended - Part 2								
	(1) Claims Remaining After Amendment		(2) Highest Number Previously Paid For	(3) Extra Claims Present	Small Entity		Other than a Small Entity	
					Rate	Fee	Rate	Fee
Total Claims (37 CFR 1.16(j))	***	MINUS	**	* =	x \$ _____ =		x \$ _____ =	
Independent Claims (37 CFR 1.16(i))	***	MINUS	*****	=	x \$ _____ =		x \$ _____ =	
Total Additional Fee					\$	OR	\$	
<p>* If the entry in (D) is less than the entry in (C), Write "0" in column 3.</p> <p>** If the "Highest Number of Total Claims Previously Paid For" is less than 20, Write "20" in this space.</p> <p>*** After any cancellation of claims.</p> <p>**** If "A" is greater than 20, use (B - A); if "A" is 20 or less, use (B - 20).</p> <p>***** "Highest Number of Independent Claims Previously Paid For" or Number of Independent Claims in Patent (C).</p> <p><input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p><input checked="" type="checkbox"/> Please charge Deposit Account No. <u>50-1505/5007-00700</u> in the amount of <u>\$766.00</u>. A duplicate copy of this sheet is enclosed.</p> <p><input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees under 37 CFR 1.16 or 1.17 which may be required, or credit any overpayment to Deposit Account No. <u>50-1505/5007-00700</u>. A duplicate copy of this sheet is enclosed.</p> <p><input type="checkbox"/> A check in the amount of \$ _____ to cover the filing / additional fee is enclosed.</p> <p><input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.</p> <p style="text-align: center;"><b>WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</b></p> <div style="display: flex; justify-content: space-between; margin-top: 20px;"> <div style="width: 40%;"> <p><u>12/21/00</u> Date</p> </div> <div style="width: 50%; text-align: center;">             Signature of Applicant, Attorney or Agent of Record  <u>Kevin L. Daffer Reg. No. 34,146</u>            Typed or printed name         </div> </div>								